

# Particle motion in a liquid film rimming the inside of a partially filled rotating cylinder

By D. D. JOSEPH<sup>1</sup>, J. WANG<sup>1</sup>, R. BAI<sup>1</sup>,  
B. H. YANG<sup>1</sup> AND H. H. HU<sup>2</sup>

<sup>1</sup>Department of Aerospace Engineering and Mechanics, University of Minnesota, Minneapolis, MN 55455, USA

<sup>2</sup>Department of Mechanical Engineering and Applied Mechanics, University of Pennsylvania, Philadelphia, PA 19104-6315, USA

(Received 19 August 2002 and in revised form 24 July 2003)

Both lighter- and hydrophobic heavier-than-liquid particles will float on liquid–air surfaces. Capillary forces cause the particles to cluster in typical situations identified here. This kind of clustering causes particles to segregate into islands and bands of high concentrations in thin liquid films rimming the inside of a slowly rotating cylinder partially filled with liquid. A second regime of particle segregation, driven by secondary motions induced by off-centre gas bubbles in a more rapidly rotating cylinder at higher filling levels, is identified. A third regime of segregation of bi-disperse suspensions is found in which two layers of heavier-than-liquid particles that stratify when there is no rotation, segregate into alternate bands of particles when there is rotation.

---

## 1. Capillary forces

The deformation of the air–liquid interface due to floating light particles or due to trapped heavy small particles gives rise to capillary forces on the particles. These forces may be qualitatively understood from simple arguments. Three kinds of force act on particles: forces due to gravity; forces due to the action of contact angles; and the pressure forces. These three kinds of force are at play in the vertical force balance, but require a somewhat more elaborate explanation for horizontal force balance. The effects of gravity are usually paramount for heavier-than-liquid floating particles in which one particle will fall into the depression of the second. A heavier-than-liquid particle will fall down a downward sloping meniscus while an upward buoyant particle will rise. Capillary forces cause particles to cluster, as shown in figure 4.

In this section, we shall review the nature of capillary forces which cause the particles to cluster; in §2, we show how these forces produce islands and bands of segregated particles in a thin liquid film rimming the inside of a slowly rotating cylinder.

### 1.1. Vertical forces

The simplest analysis relevant to understanding the forces on small particles is the vertical force balance on a sphere floating on the interface between fluids which, for convenience, is here called water and air. This analysis was given first by Princen (1969), then by Rapacchietta & Neumann (1977) and by Kotah, Fujita & Imazu (1992), who used the floating ball to measure contact angles.

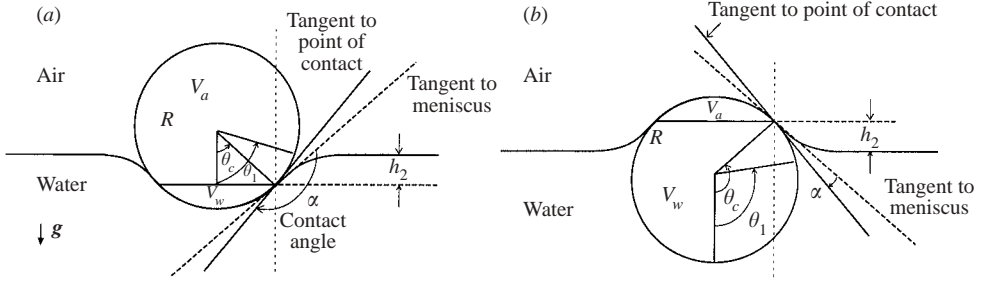


FIGURE 1. (a) Hydrophobic and (b) hydrophilic particles at equilibrium.

The capillary force  $F_c$  is a function of the radius of the particle  $R$ , the surface tension coefficient  $\gamma$ , the filling angle (position of the contact ring)  $\theta_c$  and the contact angle  $\alpha$  (see figure 1), given by,

$$F_c = 2\pi(R \sin \theta_c)\gamma \sin[\theta_c - (\pi - \alpha)] = -2\pi R\gamma \sin \theta_c \sin(\theta_c + \alpha), \quad (1)$$

for both the hydrophobic and hydrophilic cases.

At equilibrium, the gravity force  $G$  is balanced by the capillary force plus the vertical resultant of pressure around the sphere:

$$F_c + F_p = G, \quad (2)$$

where  $G = 4\rho_p\pi R^3g/3$ . The vertical resultant of pressure around the sphere can be written as

$$F_p = \rho_l g V_w + \rho_a g(V - V_w) - (\rho_l - \rho_a)gh_2A, \quad (3)$$

where  $\rho_l$  and  $\rho_a$  are densities of the liquid and the air, respectively;  $h_2$  is the depression generated by the particle, with a negative value in the case shown in figure 1(a) and a positive value shown in figure 1(b);  $V = 4\pi R^3/3$  is the volume of the sphere,  $V_w = \pi R^3(2/3 - \cos \theta_c + \cos^3 \theta_c/3)$  is the volume of the sphere immersed in the water and  $A = \pi(R \sin \theta_c)^2$  is the area of the contact ring. The first two terms on the right-hand side of (3) are in agreement with Archimedes' principle, while the last term accounts for the meniscus effect. When a meniscus is present, the buoyancy calculated by Archimedes' principle  $\rho_l g V_w + \rho_a g(V - V_w)$  lifts not only the sphere, but also the liquid cylinder  $h_2A$  above the contact ring.

Inserting (1) and (3) into (2), we obtain the vertical force balance,

$$\begin{aligned} \sin \theta_c \sin(\theta_c + \alpha) = & -\frac{1}{2}B \left[ \frac{4}{3}\psi_1 - \left( \frac{2}{3} - \cos \theta_c + \frac{1}{3} \cos^3 \theta_c \right) \right. \\ & \left. - \psi_2 \left( \frac{2}{3} + \cos \theta_c - \frac{1}{3} \cos^3 \theta_c \right) + (1 - \psi_2)(\cos \theta_c - \cos \theta_1) \sin^2 \theta_c \right] \end{aligned} \quad (4)$$

where  $\cos \theta_c - \cos \theta_1 = h_2/R$  and  $\theta_1$  is measured from the point of extension of the flat interface as indicated in figure 1.  $B = \rho_l R^2 g / \gamma$  is the bond number and  $\psi_1 = \rho_p / \rho_l$  and  $\psi_2 = \rho_a / \rho_l$  are the dimensionless control parameters.

It can be inferred from (4) that the left-hand side of the equation, consequently, the right-hand side, lies in the range  $-1 \leq \sin \theta_c \sin(\theta_c + \alpha) \leq 1$ . Obviously, this equation cannot be solved if the particles are too large or too heavy. However, it can be concluded that small hydrophobic particles can always be suspended in fluid surfaces no matter how heavy they may be, as long as  $\rho_p R^2 g / \gamma$  is small enough. Moreover, in the limit of  $\rho_p R^2 g / \gamma \rightarrow 0$ ,  $\sin \theta_c \sin(\alpha + \theta_c) = 0$  and the particles sit on the top of the fluid or are held in place by capillarity.

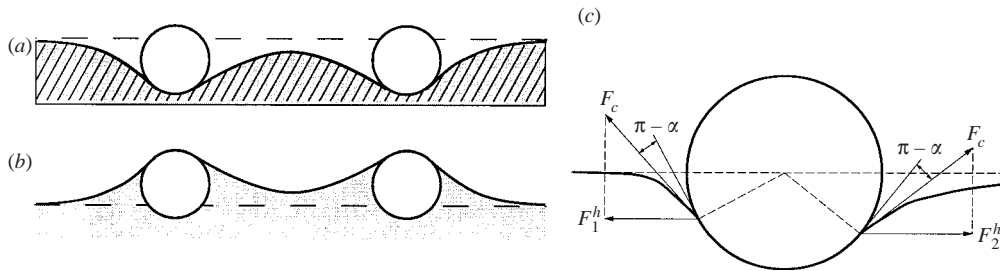


FIGURE 2. Spherical particles in water, (a) heavier-than-water hydrophobic particles. (b) Lighter-than-water hydrophilic particles. (c) If for any reason, the particle tilts with the two contact angles equal, a horizontal force imbalance will result.  $F_c$  is the capillary force and  $F_1^h$  and  $F_2^h$  are horizontal components,  $F_2^h > F_1^h$ .

If the particle is irregular, with sharp corners, the contact angle argument fails. Liquid–air surfaces bind at razor sharp corners; the physics associated with this strong bond are not understood. Razor blades and straight pins can float on water–air surfaces pinned at the sharp corners.

Equation (4) suggests that hydrophobic nanoparticles can float on the surface no matter how heavy they are. However, even though the formula does not predict that hydrophilic particles will sink, they will sink because of a not-understood wetting instability. If heavy nanoparticles are put into the bulk of the liquid, they will not rise. Surface tension is a property modelling rapid changes over a layer of small size which is usually taken as zero. The concept of contact angle might lose meaning if the size of the particle were smaller than the size of the layer.

### 1.2. Horizontal forces

The deformation of a liquid–air interface due to trapped small particles gives rise to lateral capillary forces exerted on the particle. A simple explanation is shown in figure 2. For a heavier-than-liquid particle, the meniscus is below the undisturbed level. The particles will tilt causing an imbalance of the horizontal component of capillary forces pulling the spheres together. Lighter-than-liquid hydrophilic particles will rise into the elevated section of the meniscus and come together.

There are several ways to isolate the effects of capillarity uninfluenced by gravity. Poynting & Thompson (1913) investigated the capillary effect by considering two vertical plates immersed in a liquid, the space between the plates is a two-dimensional capillary tube. If the plates are hydrophobic, the level in the capillary gap sinks below the liquid outside; if the plates are hydrophilic the level rises. Their argument about the nature of horizontal forces on the plates is given in the caption of figure 3. Repulsion between plates with different wetting properties is rather short range because it stops when the meniscus between plates becomes flat.

Another way to take away the effects of gravity is to support the particles on a substrate. In this case the horizontal forces are due to capillary effects alone. Katoh *et al.* (1992) studied the motion of a particle floating on a liquid meniscus which could be interpreted as motion on a substrate because the foaming polystyrol particles used by them are an order of magnitude lighter than water, making the effects of gravity negligible compared to capillarity. Their experimental results are completely consistent with the predictions of Poynting & Thompson (1913): when the sphere and the wall are alike with respect to wetting, say both are hydrophobic or hydrophilic, the wall and sphere attract; when they are unlike the sphere and wall repel.

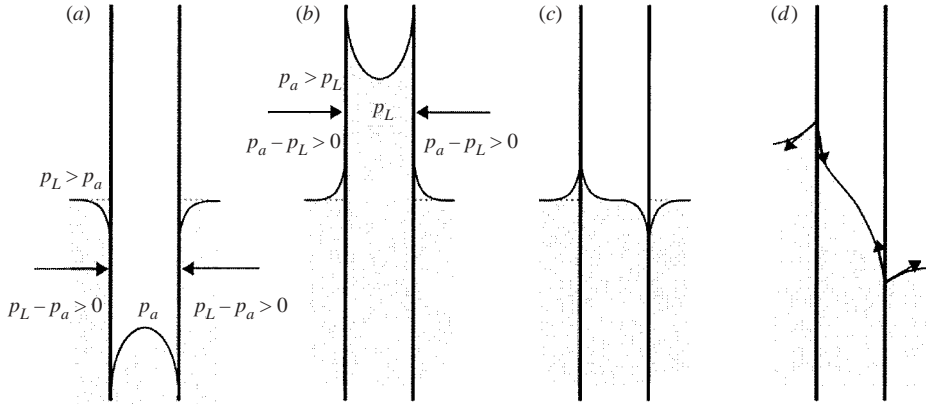


FIGURE 3. (After Poynting & Thompson 1913). Horizontal forces associated with the fall (a) of liquid between hydrophobic plates and the rise (b) of liquid between hydrophilic plates. In (c) and (d) one plate is hydrophilic and the other hydrophobic. The contacts on both sides of a plate are the same and the tension  $\gamma$  is constant. They argue that the net horizontal force due to  $\gamma$  can be calculated at flat places; so that there is no net horizontal component of the tension. In (a) and (b) the pressures are such that they push the plates together; there is no net attractive force in (c). In (d) the plates are so close that there is no flat place, then the horizontal projection of the capillary force midway between the plates is smaller than that outside the plates and the plates are pulled apart; they repel. They note that 'small bodies, such as straw or pieces of cork, floating on the surface of a liquid often attract each other in clusters; this occurs when the bodies are all wet by the liquid and also when none of them is wet; if one body is wet and one is not wet, they repel each other.'

Despite the well-established importance of the capillary meniscus forces there are only a few theoretical works devoted to them. Nicolson (1949) was the first to derive an analytical expression for the capillary force between two floating bubbles in the linearized approximation. In this case, the meniscus satisfies the Laplace equation and the effects of the two bubbles on the meniscus are simply additive. A similar approximate method was applied by Chan, Henry & White (1981) to floating spheres and horizontal cylinders. For horizontal cylinders, alternative approaches were proposed by Gifford & Scriven (1971) and by Fortes (1982). The theoretical works are based on solutions of the Laplace equations for capillary menisci of translational or rotational symmetry, where the Laplace equation reduces to an ordinary differential equation.

An analytical solution of the Laplace partial differential equation in bipolar coordinates was proposed by Kralchevsky *et al.* (1992, 1993) for the case of small particles and small meniscus slope. This solution provides expressions for calculating the capillary meniscus force between two vertical cylinders, between two spheres partially immersed in a liquid layer and between a vertical cylinder and a sphere. A review is presented by Kralchevsky & Nagayama (2000).

Their theory (see Kralchevsky & Nagayama 2000), which has been validated in experiments, provides the following asymptotic expression for calculating the lateral capillary force between two particles of radii  $R_1$  and  $R_2$  separated by a centre-to-centre distance  $L$ ,

$$F = -2\pi\gamma Q_1 Q_2 q K_1(qL) [1 + O(q^2 R_k^2)] \quad \text{when } L \gg r_k, \quad (5)$$

where  $r_k = R_k \sin \theta_c$  ( $k = 1$  and  $2$ ) are the radii of the two contact lines (see figure 1);

$$Q_k = r_k \sin \zeta_k \quad (k = 1, 2), \quad (6)$$

with  $\zeta_k$  being the meniscus slope angle with respect to the horizontal plane at the contact point ( $\zeta > 0$  for floating light particles, and  $\zeta < 0$  for heavy particles);

$$q = \sqrt{(\rho_l - \rho_a)g/\gamma} \quad (7a)$$

is the inverse of the capillary length; in addition,  $K_1(x)$  is the modified Bessel function of the first order. Therefore, the lateral capillary force between two identical particles is

$$F = -2\pi\gamma Q_1 Q_2 / L, \quad (7b)$$

when the distance between them is much smaller than the capillary length ( $q^{-1} = 2.7$  mm for water–air interface).

### 1.3. Particle clustering

Owing to the attractive lateral capillary forces between similar particles floating on a liquid surface, particles tend to cluster. The dynamic behaviour of clustering is not well characterized. Gifford & Scriven (1971) noted that ‘casual observations... show that floating needles and many other sorts of particles do indeed come together with astonishing acceleration. The unsteady flow fields that are generated challenge analysis by both experiment and theory. They will have to be understood before the common-place “capillary attraction” can be more than a mere label, so far as dynamic processes are concerned.’

There are a small number of theoretical studies of the drag and diffusion coefficient of a spherical particle attached to a fluid interface (Wakiya 1957; Goldman, Cox & Brenner 1967; Schneider, O’Neill & Brenner 1973; Majumdar, O’Neill & Brenner 1974; Brenner & Leal 1978, 1982; Redoev, Nedjalkov & Djakovich 1992; Danov *et al.* 1995). Saif (2002) develops a theory of capillary interactions between solid plates forming menisci on the surface of a liquid.

The only experimental determination of drag coefficients for particles of any size were performed by Petkov *et al.* (1995) for particles of sub-millimetre radius by measuring the particle velocity under the action of well-defined external forces. They showed that the capillary interactions are quite strong and very long range. Accelerations, which are very great under many conditions of interest, have not been studied before.

We found that the initially randomly distributed particles floating on a liquid surface tend to cluster owing to the attractive lateral capillary forces between the particles. It is generally observed that the particles initially form small clusters, then the small clusters slowly merge into larger ones; and eventually the larger ones are assembled into a giant cluster. This self-assembly process is shown in figure 4. The procedure by which we obtain dispersions like those shown at 3 min in figure 4 is noteworthy. We create such dispersions by pouring particles on the liquid, nothing complicated, just like a salt shaker. As soon as the particles hit the liquid surface they disperse rapidly leading to dispersions like that at 3 min in figure 4. The dispersions then attract. This initial repulsion, followed by attraction, is more or less universal and we have not seen it mentioned in the literature.

Experiments on particle clustering due to capillarity were carried out in glass Petrie dishes with diameters ranging from 5 to 15 cm. Clustering, of the type shown in figure 4, was observed for polymer particles and nylon particles on the glycerine–air

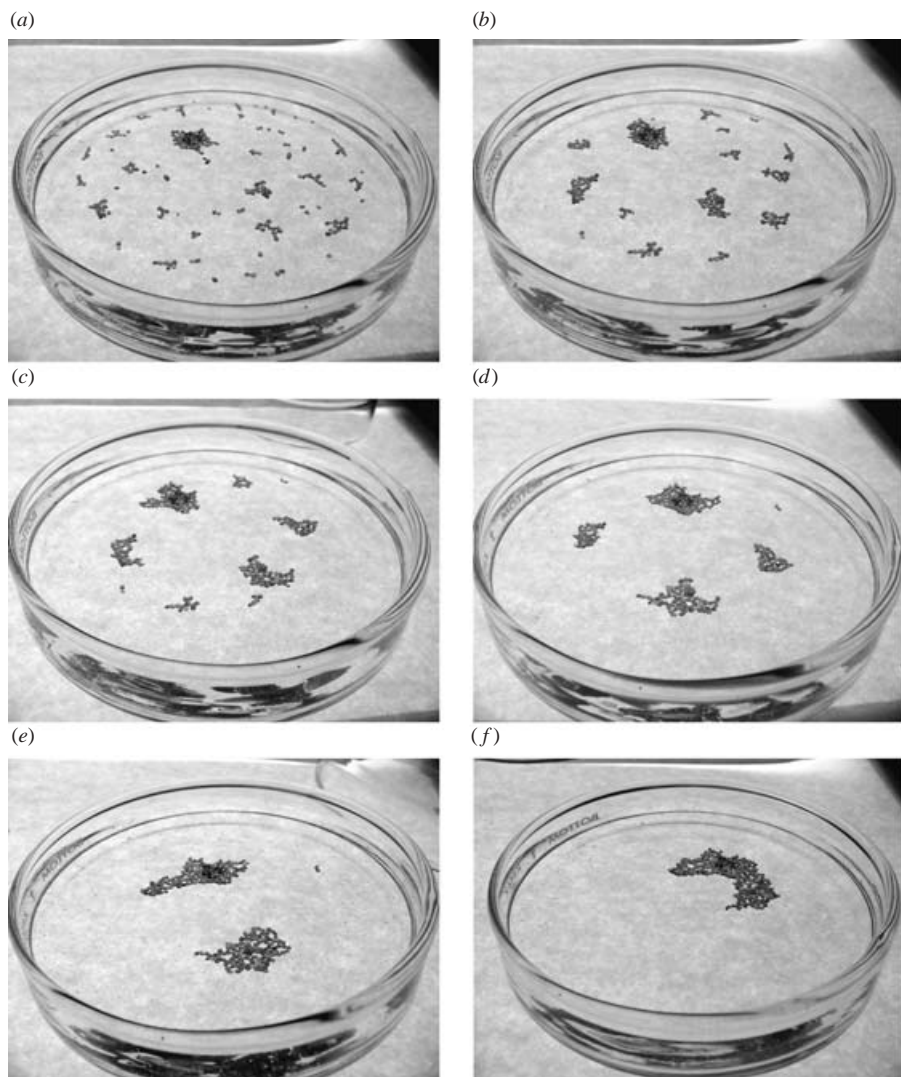


FIGURE 4. Free motions leading to self-assembly of floating particles. The particles are AcFrac sands with a density of  $1.64 \text{ g cm}^{-3}$  and the liquid is a 1% aqueous Polyox solution with a density of  $1.006 \text{ g cm}^{-3}$  (see tables 4 and 5 in the Appendix for more particle and liquid properties). The particles are hydrophobic and are not wetted by the aqueous Polyox solution; the capillary force not only helps the heavier-than-liquid particles float but also draws particles together. Elapsed time: (a) 3 min; (b) 10 min; (c) 30 min; (d) 2 h; (e) 12 h; (f) 24 h.

and Triton mixture–air interface.† In these experiments, the particles are lighter than the liquids. Properties of the particles and liquids can be found in tables 4 and 5 in the Appendix.

Rate of approach experiments were conducted for two identical nylon particles attracted by capillary forces on the glycerine–air and Triton mixture–air interface.

† Movies of the experiments reported in this paper can be viewed at the web address <http://www.aem.umn.edu/research/particles/rtcylinderpaper/>.

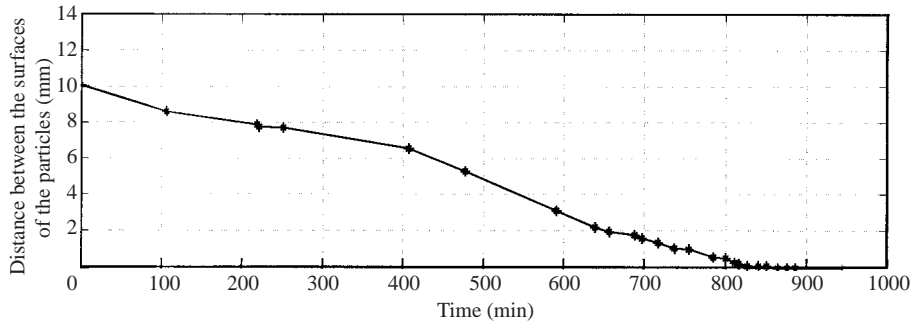


FIGURE 5. Distance between two identical nylon particles attracted by the capillary force on the Triton mixture (2950 cP)–air surface.

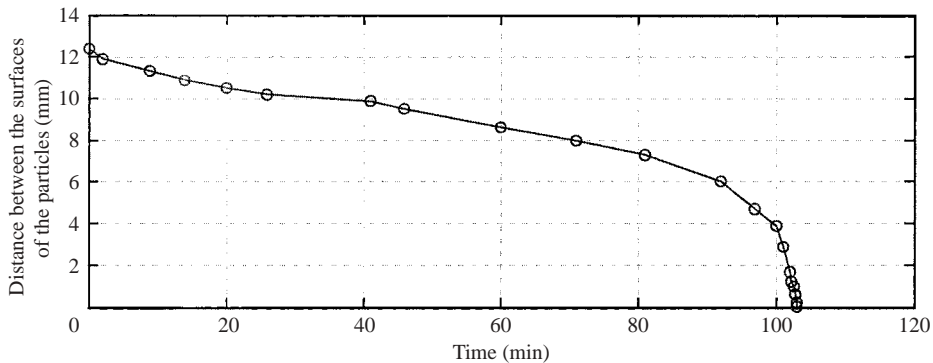


FIGURE 6. Distance between two identical nylon particles attracted by the capillary force on the glycerine–air interface.

The distance between the two particles was measured with a video camera as a function of time, as shown in figures 5 and 6. The approach of the particles takes hours, which is consistent with the time scale for cluster formation shown in figure 4. The curves in figures 5 and 6 are remarkably different at the final stage of approach, which indicates that the approach velocity depends strongly on liquid properties. Particles in a 6000 cP Triton mixture barely move, even when they are placed very close together. On the other hand, the rate of approach of hydrophobic particles on water–air surfaces is surprisingly fast at the final stage of approach. The estimated final approach velocities are  $0.2 \mu\text{m s}^{-1}$  and  $0.025 \text{mm s}^{-1}$  for the data shown in figures 5 and 6, respectively. The approach velocity is smaller for the smaller particles, but the data were erratic and quantitative results were not obtained.

Figure 7 shows the aggregation of polymer particles in a hanging glycerine film on the bottom of a flat glass plate; the photograph was taken from the top of the plate. The particles are encapsulated by glycerine and drawn together in hanging drops of glycerine robustly stable for months. This hanging drop configuration is shown in figure 9 as a cartoon in side view.

The property of self-assembly of particles by capillarity was used by Bowden *et al.* (1997, 1999) and Grzybowski *et al.* (2001) to assemble topologically complex mesoscale (from millimetre to micrometre size) objects into ordered two-dimensional arrays by floating the objects at the interface between perfluorodecalin (hydrophobic) and water. The structure of the arrays was manipulated by the design of the shape

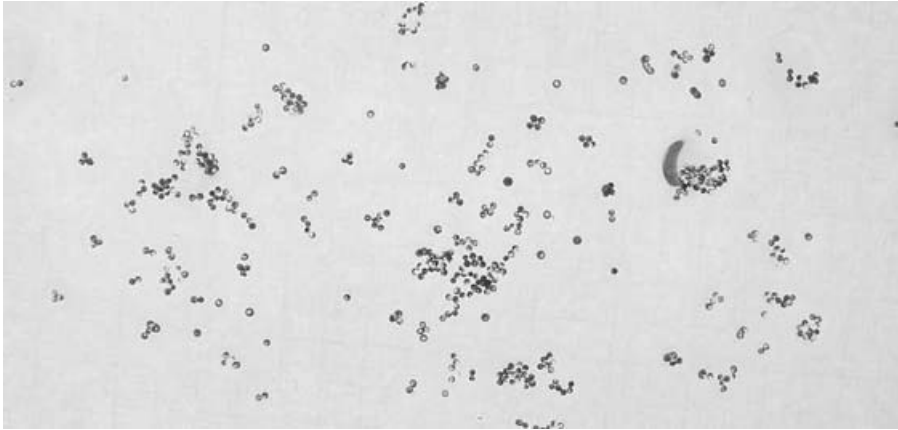


FIGURE 7. Aggregates of polymer particles in glycerine drops hanging from the bottom of a glass plate.

of the assembling objects and wettability of their surfaces. They modelled the self-assembly process as the minimization of the total interfacial free energy (the sum of the capillary energy and the gravitational energy) of the liquid–liquid interface.

## **2. Particle aggregation in a liquid film rimming a rotating cylinder**

Tirumkudulu, Tripathi & Acrivos (1999) first reported particle segregation in a suspension of monodispersed neutrally buoyant spheres in a Newtonian liquid medium being sheared in a partially filled horizontal Couette device. They found that the suspension separates itself into alternating regions of high and low particle concentration along the length of the tube. In a following study, Tirumkudulu, Mileo & Acrivos (2000, hereinafter referred to as TMA 2000) observed that, under certain circumstances, particles which are initially uniformly mixed in a film rimming a horizontal rotating cylinder will also be drawn into cylindrical bands of high particle concentration separated by regions of pure liquid. They did not offer a quantitative explanation of this phenomenon, but suggested that the cause might be found in changes of the effective viscosity of the suspension induced by fluctuations of concentration. A theory relying on the shear induced diffusion of particles, concentration-dependent viscosity and the existence of a free surface was developed by Govindarajan, Nott & Ramaswamy (2001) to provide an explanation of these experiments. However, quantitative comparison with the experimental data was not provided. Timberlake & Morris (2002) studied band dynamics using a partially filled Couette device. They showed that the particle migration process observed in experiments was much faster than that predicated by the shear induced diffusion theory, about 40 times faster in one case examined, suggesting strong evidence against shear-induced diffusion as the mechanism responsible for the observed segregation.

We carried out similar experiments and identified two regimes in which particles segregate; a low-speed, low-Reynolds-number regime, in which particles are segregated at thin places on the rimming film by lateral capillary forces, and a high-speed regime associated with the formation of bubbles (Balmer 1970; Karweit & Corrsin 1975; Preziosi & Joseph 1988 among others). The high-speed segregation has not been noted before.



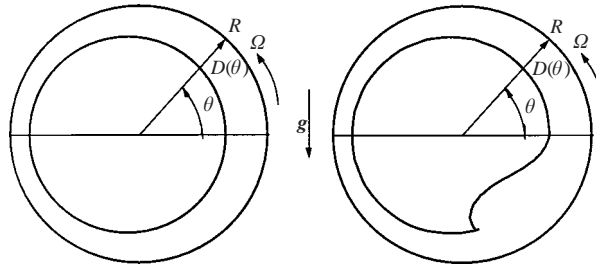


FIGURE 8. Film profile in rimming flow inside a rotating cylinder, (a) for small  $\beta$ , (b) for  $\beta$  larger than a critical value ( $\beta_c = 1.414$ ).

2.1. The ratio of the minimum film thickness to the particle diameter

The segregation of particles due to capillarity occurs when the particles touch the interface between the film rimming the rotating cylinder and the air. The particles have the best chance to touch the interface at the thin part of the rimming film. The critical parameter for the segregation appears to be the ratio  $D_{min}/d_p$ , where  $D_{min}$  is the minimum film thickness which is near the top of the cylinder to the side in which the gravity and the vertical component of rotation point downward (figure 8). We find that  $D_{min}/d_p$  is  $O(1)$  or less in all the experiments where particle segregation is observed.

Many authors have published analyses of lubrication flows of liquids rimming inside of a rotating cylinder (Diebler & Cerro 1970; Moffatt 1977; Preziosi & Joseph 1988; Johnson 1988; O'Brien & Gath 1998; Tirumkudulu & Acrivos 2001). The only dimensionless parameter to arise in lubrication theory is

$$\beta = F \sqrt{\frac{gR}{\nu\Omega}}, \tag{8}$$

where  $F$  is the filling level, i.e. the ratio of the total volume of liquid inside the cylinder to the volume of the cylinder;  $R$  is the radius of the cylinder;  $\Omega$  is its angular rotational speed;  $\nu$  is the kinetic viscosity of the liquid;  $g$  is the acceleration due to gravity. The relation between  $\beta$  and the filling parameter  $F$  is subtle and needs clarification. When  $\beta < \beta_c = 1.4142$ , a smooth film exists inside the rotating cylinder. However, when  $\beta$  is increased beyond the critical value  $\beta_c$ , smooth solution of the lubrication equation does not exist, and a bump is formed near the bottom of the cylinder where the film thickness varies rapidly, as is shown in figure 8 and in figures 1 and 3 of TMA 2000. Lubrication theory can be used to compute  $D_{min}$  when  $\beta < \beta_c$ ; it can also be used to compute the minimum film thickness in the region above the pool of liquid when  $\beta > \beta_c$  by a procedure which will be discussed below, but the solution is not valid in the liquid pool at the bottom of the cylinder. The critical condition for the existence of a smooth solution of the lubrication equation for a thin film rimming the rotating cylinder was called a ‘run-on’ condition by Preziosi & Joseph (1988). The run-on condition was verified in experiments reported by them.

The segregation of particles by capillary forces does not correlate with  $\beta$ , whereas the ratio  $D_{min}/d_p$  appears to be the controlling parameter and lubrication theory can be used to compute  $D_{min}$  by the method described below. Preziosi & Joseph (1988) obtained the following equation for the thickness of the film  $D(\theta)$  using a lubrication theory:

$$Q = \Omega R D - \frac{g}{\nu} \frac{1}{3} D^3 \cos \theta = \Omega R D_0 - \frac{g}{\nu} \frac{1}{3} D_0^3, \tag{9}$$

where  $Q$  is the liquid flux in the rimming flow and  $D_0$  is the film thickness at  $\theta = 0$ . Let  $h = D/R$  and  $S = gR/\nu\Omega$ , then (9) can be written in the following non-dimensional form:

$$\frac{Q}{\Omega R^2} = h - \frac{1}{3}Sh^3 \cos \theta = h_0 - \frac{1}{3}Sh_0^3, \quad (10)$$

where  $h_0 = h(\theta)$  at  $\theta = 0$  and it is the maximum non-dimensional film thickness (see figure 8). Preziosi & Joseph gave the condition under which (10) is solvable,

$$h_0^2 S < 1, \quad (11)$$

which is the run-on condition.

O'Brien & Gath (1998) defined  $\eta = D(g/\Omega R\nu)^{1/2} = (D/R)S^{1/2}$  and  $q = Q/(g/\Omega^3 R^3 \nu)^{1/2} = (Q/\Omega R^2)S^{1/2}$  and wrote

$$q = \eta - \frac{1}{3}\eta^3 \cos \theta = \eta_0 - \frac{1}{3}\eta_0^3. \quad (12)$$

They gave the condition under which equation (12) is solvable:

$$0 < q < \frac{2}{3}. \quad (13)$$

Note that when  $q = 2/3$ , the solution of (12) is  $\eta_0 = h_0 S^{1/2} = 1$ . Hence, the run-on criterion, (11), is equivalent to (13).

The fluid fraction  $F$  can be computed by integrating  $D(\theta)$ :

$$F = \frac{1}{\pi R} \int_{-\pi}^{\pi} D(\theta) d\theta \equiv \frac{\beta}{S^{1/2}} \quad \text{where} \quad \beta = \frac{1}{\pi} \int_{-\pi}^{\pi} \eta(\theta) d\theta. \quad (14)$$

By virtue of (12) and (14), the value of  $\beta$  corresponding to  $q = 2/3$  is  $\beta = 1.4142$ . Therefore, the three critical conditions for the existence of a smooth solution of the lubrication equation are equivalent:  $h_0^2 S < 1$ ,  $0 < q < 2/3$ , and  $\beta < 1.4142$ .

When  $\beta < 1.4142$ , all the liquid is in the thin-film without a bump; the liquid flux can be obtained from  $F$ ,

$$\frac{Q}{\Omega R^2} = \frac{F}{2}. \quad (15)$$

Then equation (10) can be solved for the non-dimensional film thickness profile  $h(\theta)$ ,

$$h - \frac{1}{3}Sh^3 \cos \theta = \frac{1}{2}F, \quad (16)$$

and the minimum film thickness can be obtained at  $\theta = \pi$ .

The maximum non-dimensional film thickness  $h_0$  at  $\theta = 0$  is an increasing function of  $\beta$  with a maximum at  $\beta = \beta_c$ . When  $\beta > \beta_c = 1.4142$ , there are places on the cylinder where the layer is thicker than the critical value, and the excess liquid will collect under the bump. However, it may be assumed that  $h_0$  remains at  $\theta = 0$  (see figure 8); it is the maximum thickness of the film above the bump. This assumption could not be strictly correct; Ruschak & Scriven (1976) showed that under a perturbation of the thin-film condition used to justify lubrication theory, the position of the maximum thickness rotates into the first quadrant. We assume that the maximum film thickness that can be maintained by rotation is determined by the run-on condition (11):  $h_0 = 1/\sqrt{S} = \sqrt{\nu\Omega/gR}$ . Then, we can calculate the actual liquid flux in the circulation by

$$\frac{Q}{\Omega R^2} = h_0 - \frac{S}{3}h_0^3 = \frac{2}{3\sqrt{S}}. \quad (17)$$

	$F$	$R$ (cm)	$\Omega$ (r.p.m.)	$\mu$ (Poise)	$\rho$ (g cm <sup>-3</sup> )	$\nu$ (cm <sup>2</sup> s <sup>-1</sup> )	$\beta$	$D_a$ (cm)	$D_{min}$ (cm)	$D_{min}/d_p$
TMA 1	0.150	1.270	1.40	40.00	1.172	34.13	2.36	0.099	0.0480	1.04
TMA 2	0.125	5.000	2.80	49.00	1.172	41.81	2.50	0.323	0.149	3.24
M1	0.151	1.396	1.65	51.95	1.241	41.86	2.08	0.110	0.0605	0.931
M2	0.140	1.396	1.65	29.50	1.332	22.15	2.05	0.101	0.0440	0.677
M3	0.150	1.396	1.10	51.95	1.241	41.86	2.53	0.110	0.0494	0.760
M4	0.145	1.396	10.9	48.50	1.212	40.02	0.79	0.105	0.0966	1.49
M5	0.061	1.396	1.76	44.34	1.203	36.86	0.87	0.043	0.0403	0.620
M6	0.061	1.396	3.13	44.34	1.203	36.86	0.65	0.043	0.0412	0.634
M7	0.061	1.396	6.00	44.34	1.203	36.86	0.47	0.043	0.0418	0.648
M8	0.061	1.396	10.0	44.34	1.203	36.86	0.37	0.043	0.042	0.646
M9	0.046	1.396	38.71	2.377	1.498	1.587	0.67	0.032	0.0310	0.477
M10	0.046	1.396	51.10	2.377	1.498	1.587	0.58	0.032	0.0313	0.482

TABLE 1. Parameters for experiments reported in TMA 2000 and for our experiments (M1 to M10). Experiments M4 and M5 were conducted in the 15 cm long cylinder; the other experiments were conducted in the 30 cm long cylinder.

The minimum film thickness at  $\theta = \pi$  is determined from the volume conservation (10),

$$\frac{Q}{\Omega R^2} = h_{min} + \frac{1}{3}Sh_{min}^3 = \frac{2}{3\sqrt{S}}$$

or,

$$h_{min} = \frac{0.596}{\sqrt{S}} = 0.596 \sqrt{\frac{\nu\Omega}{gR}}. \quad (18)$$

Tirumkudulu & Acrivos (2001) solved for the film profile using an extended lubrication analysis and numerical computation from the full Stokes equations, and compared their solutions with experimental measurements. Their results (their figures 3–5) show that the value of  $\eta_{min} = h_{min}\sqrt{gR/(\nu\Omega)}$  is at about 0.6, which is in excellent agreement with our expression (18) based on a much simpler argument. When  $\beta$  is greater than  $\beta_c$ , the minimum film thicknesses  $D_{min}$  given in table 1 are evaluated from (18). We may also calculate the average film thickness  $D_a$  from

$$F = \frac{R^2 - (R - D_a)^2}{R^2}, \quad \text{i.e. } D_a = (1 - \sqrt{1 - F})R. \quad (19)$$

## 2.2. Particle segregation in aqueous Triton mixtures

TMA 2000 found particle segregation in monodispersed sheared suspensions in a partially filled rotating horizontal cylinder when the filling fractions were small ( $0.1 \leq F \leq 0.15$ ). The particle concentrations for the uniform mixtures were 5% and 15%. The values of  $\beta$  in experiments reported in TMA 2000 were all greater than  $\beta_c$ .

Systematic experiments on clustering of particles into bands were carried out using the polymer particles whose properties can be found in table 4. The liquids used in these experiments are mixtures of Triton X 100, zinc chloride and water, which are the same kinds of liquid as used in TMA 2000. The mixtures have viscosities in the range of 2–60 poise and densities in the range of 1.1–1.5 g cm<sup>-3</sup>, depending on the fractions of the components. The viscosities of the mixtures are sensitive to the temperature which was maintained at  $68 \pm 2^\circ\text{F}$  in our experiments.

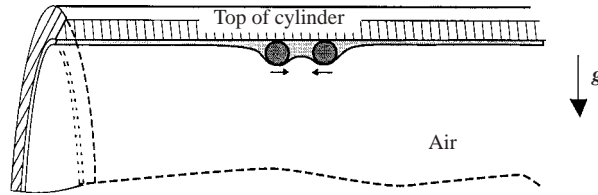


FIGURE 9. Capillary attraction of two particles hanging in a film at the top of a stationary rotating cylinder. The liquid film is the top section (the grey area). The air fills the other space.

The experiments were conducted in two cylinders, one is 30 cm long with a inner diameter of 2.792 cm; the other is 15 cm long with the same inner diameter. The cylinder is supported horizontally and is driven at constant rotational speed  $\Omega$  by a motor. The Reynolds number  $Re = (\Omega D_a^2)/\nu$  in these experiments is very small (less than  $10^{-2}$ ), hence inertial effects are negligible.

In table 1, we give the parameters for the experiments using Triton mixtures reported in TMA 2000 and our experiments M1 to M10. The derived parameters ( $\beta$ ,  $D_a$ ,  $D_{min}$ ,  $D_{min}/d_p$ ) are based on the properties of the pure liquids. The particles used in TMA1 and TMA2 were neutrally buoyant with density  $1.172 \text{ g cm}^{-3}$ , and diameter  $d_p = 0.04625 \pm 0.00375 \text{ cm}$  in concentrations of 15%. The values of  $\beta$  for TMA1 and TMA2 based on the viscosity of the homogenous suspension would be 1.8 and 1.9, respectively. In our experiments, M1 to M10, the particle density  $\rho_p = 1.034 \text{ g cm}^{-3}$  is smaller than the liquid density; the diameters of the particles are more dispersed with an average particle diameter  $d_p = 0.065 \text{ cm}$ . The concentrations of the particles range from 2% to 7%. Although our particles are not neutrally buoyant, the sedimentation of the particles in the liquids used in the experiments can be neglected, since the sedimentation velocity of the particles in those liquids is of the order of  $10 \text{ nm s}^{-1}$ .

Particle segregation was observed in all the experiments listed in table 1 and we note that the values of  $D_{min}/d_p$  in table 1 are all of  $O(1)$  or less. In the experiments M1, M2 and M3, the value of  $\beta$  is larger than  $\beta_c$  and a pool of liquid exists at the bottom of the rotating cylinder. The capillary attraction forces act mainly at the top part of the cylinder where particles touch the surface of the thin film. The rotational speed of the cylinder must be slow enough relative to the speed of capillary attraction to allow clusters to form at the top more rapidly than they disperse in the pool of liquid at the bottom. In the experiments M4 to M10,  $\beta$  is smaller than  $\beta_c$  and the film is smooth around the cylinder. The film thickness is smaller than or close to the particle diameter and the capillary attraction force can draw particles into clusters even when the rotational speed is relatively high (51.1 r.p.m. in M10). We do not know the reason for particle segregation in TMA 1 and 2, however, capillarity may play a role there too.

After the cylinder is partially filled with the uniform suspension, it is turned a few times by hand and then put to rest so that the suspension covers the whole inside cylinder. It is observed that particles trapped in the thin film at the top of the cylinder move rather rapidly together under the action of capillarity (see figure 9). A similar kind of dynamics prevails when the cylinder rotates continuously at a constant velocity. In general, the trapped particles are completely wetted by the liquid as they go round and round with the rotating cylinder. The segregation of particles generally occurs slowly and it takes a long time (hours) for the particles to reach the final steady band formation. The process of particle segregation can be divided into

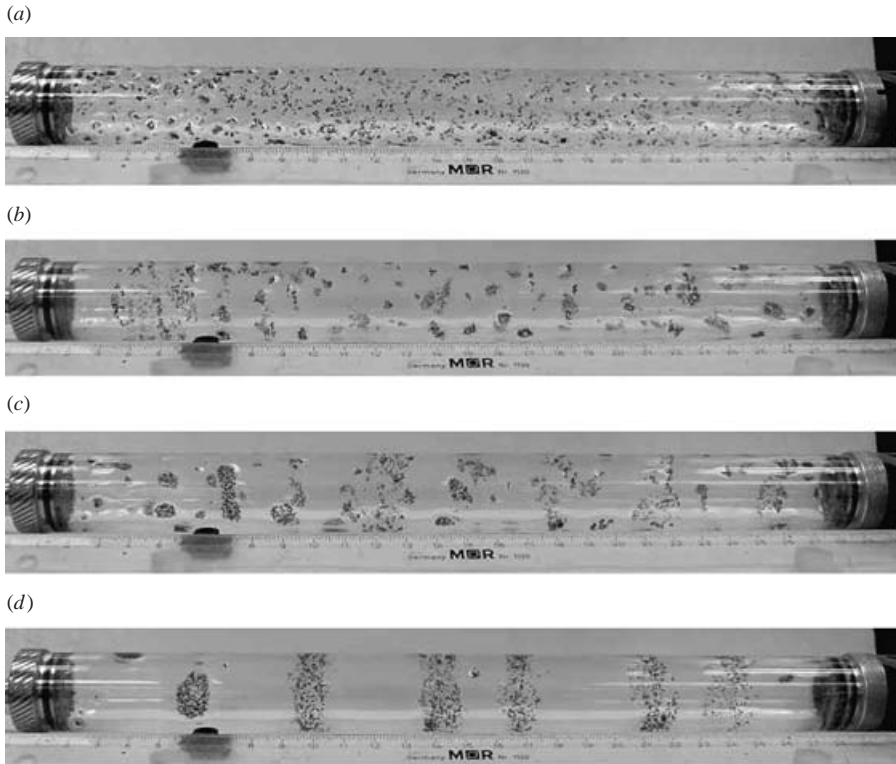


FIGURE 10. Stages of particle band formation. The experiment was performed in a highly viscous Triton mixture at a low rotational speed with a low filling level (M7 in table 1). (a) Uniform distribution of particles at the beginning. (b) Particle clusters. (c) Larger clusters. (d) Particle bands.

several stages. The first is the formation of many small particle clusters, which are nucleated randomly along the cylinder a few minutes after the beginning of rotation. Small particle clusters merge into larger ones as time goes by. Then clusters form several large and rather stable blocks which are often far from each other. The blocks are gradually stretched thinner and longer in the flow direction, and eventually form cylindrical bands. The final formation of the bands is frequently uniform along the cylinder axis. The bands are not robustly stable; they may form, move, break, reform or merge. It can be said that uniform dispersion is robustly unstable and clusters are robustly stable. The snapshots of the particle band formation are shown in figure 10.

In table 2, we give the values for the times of formation of small clusters  $t_{w1}$ , large clusters (called blocks)  $t_{w2}$  and bands  $t_{w3}$  and the distance between bands as a function of the filling level and rotational speed. It appears that bands form faster when the rotational speed is increased at the same filling level.

Particle band formation is observed in the Triton mixtures with viscosities as high as 52 poise and as low as 2.38 poise (see table 1). Relatively low filling levels and high rotational speeds are required to achieve band formation in the low-viscosity Triton mixture (M9 and M10). The stages of particle segregation in the low-viscosity Triton mixture (shown in figure 11) are similar to those in high-viscosity Triton mixtures (figure 10). However, the rotational speed cannot be too high, otherwise particle bands do not form or they may form but are not stable. During experiments with soybean

Filling level $F$	Rotational speed $\Omega$ (r.p.m.)	Waiting time for particle clusters $t_{w1}$ (h)	Waiting time for large blocks $t_{w2}$ (h)	Waiting time for bands $t_{w3}$ (h)	Average distance between bands $\bar{l}$ (cm)
0.061	1.76	1.1	2.6	6.6	6.2
0.061	3.13	0.8	2.1	4.7	5.5
0.061	6.00	0.6	2.2	4.0	3.9
0.061	10.0	0.5	1.8	3.5	4.5
0.046	38.71	0.10	0.25	0.40	3.9
0.046	51.10	0.08	0.20	0.30	3.6

TABLE 2. Times for cluster and band formation and average distance between bands (the data are for experiments M5–M10 in table 1).

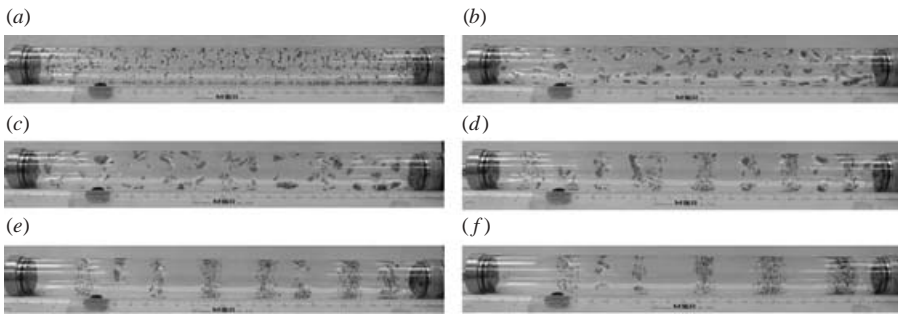


FIGURE 11. Stages of particle band formation. The experiment was performed in a low-viscosity Triton mixture at a relatively high rotational speed with a low filling level (M10 in table 1). (a) Initial distribution. (b) Particle clusters. (c) Particle blocks. (d) Initial bands. (e) Developing bands. (f) Final bands.

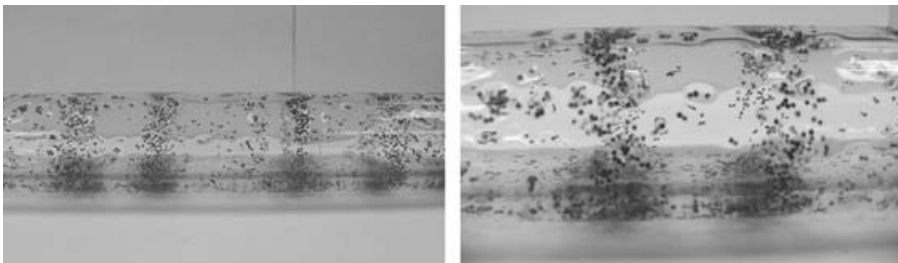


FIGURE 12. Particles which are initially distributed uniformly in a film rimming a rotating cylinder segregate into cylindrical bands (M3 in table 1). The formation of the bands takes hours.

oil and glycerine at relatively high rotational speeds (the corresponding values of  $\beta$  are 1.0–1.2), we did not observe particle bands; however, particle clusters were always generated by capillary induced ‘anti-diffusion’.

The configurations of the bands are different in high-filling-level experiments and low-filling-level experiments. In high-filling-level experiments, there are many particles in the liquid sections between particle bands (see figure 12) and weak secondary flow and transverse movement of particles can be seen between particle bands. In contrast, in the low-filling-level experiments, clear pure liquid between the particle bands is observed (see figures 10*d* and 11*f*).

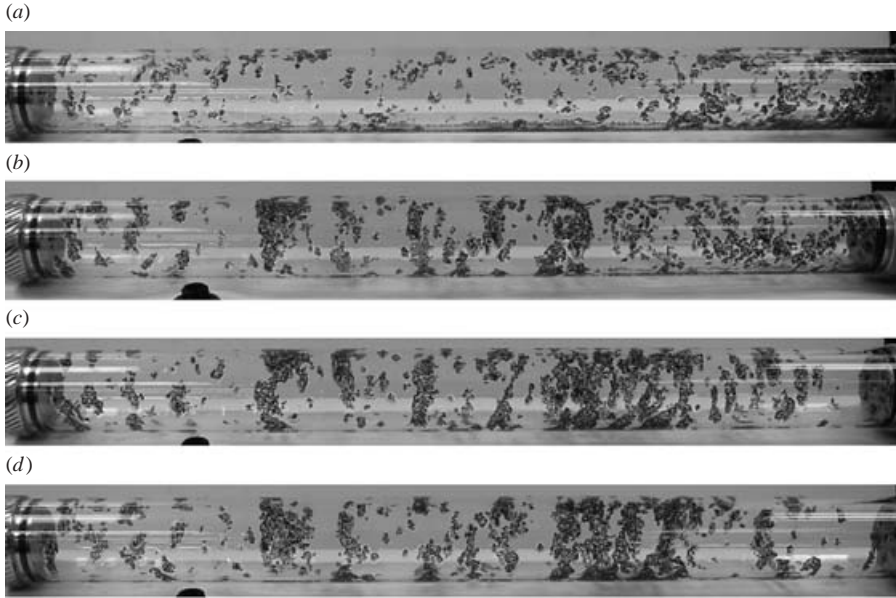


FIGURE 13. Band formation of particles due to capillarity. The liquid is water ( $18^\circ\text{C}$ ) and the particles are polymer particles. The filling level  $F$  is 0.041 and the particle concentration is 20.7%. The rotational speed  $\Omega = 8.57$  r.p.m.,  $\beta = 15.94$ , average film thickness  $D_a = 0.288$  mm, minimum film thickness  $D_{min} = 0.0213$  mm,  $D_{min}/d_p = 0.033$ . (a) 3 min, (b) 1.5 h, (c) 6 h, (d) 18 h after the beginning of rotation.



FIGURE 14. Clustering of particles due to capillarity. The liquid is glycerine with a density of  $1.173\text{ g cm}^{-3}$ , and the particles are nylon particles with a density of  $1.170\text{ g cm}^{-3}$  and a diameter of  $0.314$  cm. The filling level  $F$  is 0.082 and the particle concentration is 54.2%. The rotational speed  $\Omega = 5.45$  r.p.m.,  $\beta = 1.127$ , average film thickness  $D_a = 0.585$  mm, minimum film thickness  $D_{min} = 0.526$  mm,  $D_{min}/d_p = 0.176$ . Clusters of particles form about 5 min after the beginning of rotation. Bands form occasionally, but are not stable.

In this section, we described cluster and band formation due to capillarity for lighter-than-liquid polymer particles in small concentrations in a highly viscous Triton mixture under conditions in which  $D_{min}/d_p = O(1)$ .

### 2.3. Particle segregation in water

Particle segregation is observed in water using the polymer particles. In figure 13, we show cluster and band formation due to capillarity in large particle concentration 20.7% with  $\beta = 15.94$ .

### 2.4. Particle segregation in glycerine

Cluster and band formation due to capillarity is very robust in thin films on the inside of a rotating cylinder. In figures 14, 15 and 16 we show clusters and bands

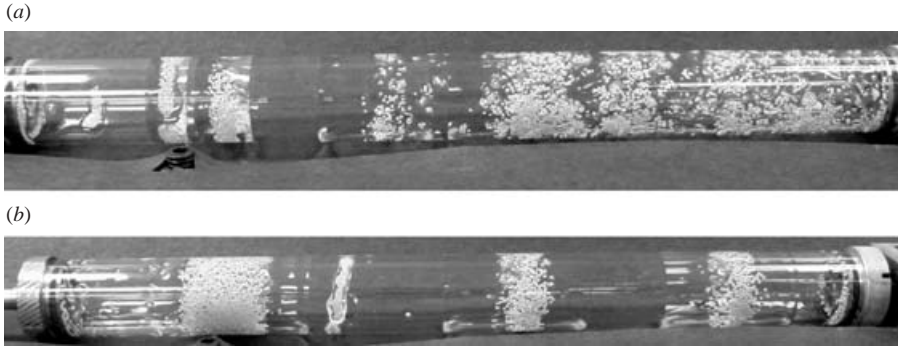


FIGURE 15. Band formation of particles due to capillarity. The liquid is glycerine with a density of  $1.173 \text{ g cm}^{-3}$ , and the particles are 16/20 Naplite sands with a density of  $2.59 \text{ g cm}^{-3}$  and a diameter of  $0.959 \text{ mm}$ . The filling level  $F$  is  $0.0785$  and the particle concentration is  $13.0\%$ . The rotational speed  $\Omega = 5.45 \text{ r.p.m.}$ ,  $\beta = 1.079$ , average film thickness  $D_a = 0.559 \text{ mm}$ , minimum film thickness  $D_{min} = 0.506 \text{ mm}$ ,  $D_{min}/d_p = 0.528$ . (a) 2.5 h, (b) 16 h after the beginning of rotation.



FIGURE 16. Band formation of particles due to capillarity. The liquid is glycerine with a density of  $1.173 \text{ g cm}^{-3}$ , and the particles are polymer particles with a density of  $1.034 \text{ g cm}^{-3}$  and a diameter of  $0.65 \text{ mm}$ . The filling level  $F$  is  $0.105$  and the particle concentration is  $4.8\%$ . The rotational speed  $\Omega = 5.45 \text{ r.p.m.}$ ,  $\beta = 1.443$ , average film thickness  $D_a = 0.753 \text{ mm}$ , minimum film thickness  $D_{min} = 0.605 \text{ mm}$ ,  $D_{min}/d_p = 0.931$ . Band formation 15 h after the beginning of rotation is shown in the figure.

in glycerine using almost neutrally buoyant particles, heavier-than-glycerine particles and lighter-than-glycerine particles, respectively. Particle concentration varies from  $4.8\%$  to  $54.2\%$  and particle diameter varies from  $0.065 \text{ cm}$  to  $0.314 \text{ cm}$ .

### 3. Particle segregation due to the formation of bubbles

When a partially filled horizontal cylinder rotates at moderate speeds and the effects of surface tension and gravity are both important, air bubbles separated by disks of liquid will form. The bubbles are then not centred and can take different shapes depending on relevant parameters. The off-centre bubbles pump the liquid to form the secondary motion which is from the bubble to the liquid disks near the bubble surface and from the liquid disks to the bubble near the wall (see figure 19). Particles are centrifuged to the wall if they are heavier than the liquid, centrifuged to the surface of the bubble if they are heavier than the air but lighter than the liquid. Driven by the secondary motion, lighter-than-liquid particles aggregate in the liquid disks; heavier-than-liquid particles aggregate in the region circling the bubbles when the bubbles are off the wall, and in the liquid disks when the bubbles touch the wall.

#### 3.1. Bubbles in a partially filled rotating cylinder

If the filling fraction is not small in the rimming flow inside a partially filled rotating horizontal cylinder, air bubbles will form and the shape, number and position of these



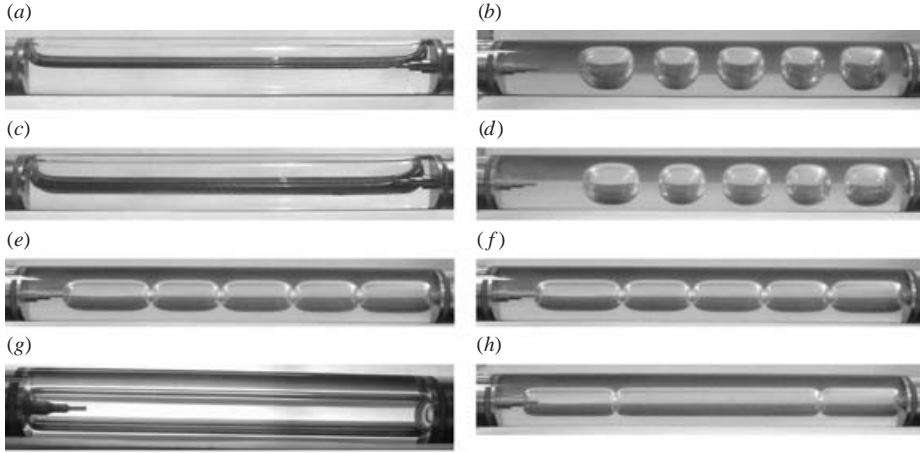


FIGURE 17. Comparison of bubble formation in (a, c, e, g) soybean oil and (b, d, f, h) Triton mixture (2950 cP). (a, b)  $\Omega = 200$  r.p.m. (c, d) 300 r.p.m. (e, f) 600 r.p.m. (g, h) 900 r.p.m.

bubbles depend on  $F$ , the rotational speed  $\Omega$ , the surface tension  $\gamma$ , the viscosity of the liquid, the density difference between liquid and gas  $\rho_l - \rho_g$ , and the dimensions of the apparatus. The qualitative effects of all these parameters are fairly well understood.

When the cylinder rotates so fast that the effects of the centrifugal gravity  $\Omega^2 R$  overwhelm those of terrestrial gravity, i.e.  $\Omega^2 R/g \gg 1$ , all of the liquid is centrifuged and rotates with the cylinder as a rigid body. In this case the air is centred and if the filling level is large enough (in our experiments  $F \geq 0.5$ ), bubbles will form under the action of an interfacial potential (see Joseph & Preziosi 1987). An important parameter for this potential is

$$J = \frac{(\rho_l - \rho_g)\Omega^2 R_b^3}{\gamma}, \quad (20)$$

where  $R_b$  is the maximum radius of the bubble.  $J$  does not depend on gravity, viscosity, filling level or the length of the apparatus. If  $J < 4$ , cigar shaped bubbles will form; the bubbles are all identical, but the number of them depends on the filling level and the history of their creation.  $J = 4$  is a limiting value restricting the bubble parameters; when  $\Omega$  is increased, the maximum radius of the bubble decreases in such a way that  $J = 4$ ; when the ratio of bubble length to radius  $L/R_b > 8$ , the bubble shape is very closely approximated by a cylinder of constant radius  $R_b$  bounded by two semi-spherical end caps (this is achieved in figures 17g, h).

The condition  $J = 4$  was derived from heuristic arguments by Vonnegut (1942). It is the working formula for the 'spinning drop' tensiometer which is used to measure interfacial tension (see Joseph, Arney & Ma 1992).

As  $\Omega$  is increased,  $R_b$  decreases with  $J = 4$ . Since the bubble volume is fixed, the length  $L$  increases and eventually all the bubbles collect end to end to form a long, rigorously centred, cylindrical column, which does not change under further increases of angular velocity.

Coming the other way, as  $\Omega$  is decreased from a state where  $\Omega^2 R/g \gg 1$ , the length of the bubbles will decrease and the maximum radius  $R_b$  will increase with  $J = 4$ . When  $\Omega^2 R/g \sim O(1)$ , the effect of terrestrial gravity becomes important and the bubbles rise; secondary motions are generated and the rotational speed becomes

---

$\Omega$	200	300	600	1000
$\Omega^2 R/g$	0.287	0.64	2.57	7.16

---

TABLE 3. Gravity ratios at several rotational speeds.

important. Photographs which exhibit typical configurations of bubbles are displayed in figure 17 where the soybean oil with a viscosity of 282 cP is compared to the Triton mixture with a viscosity of 2950 cP. The main effect of viscosity here is to maintain the rigid rotational motion of the liquid subject to perturbations of gravity. The cylinder used in the experiments in §3 has an inner diameter of 1.28 cm and a length of 22.14 cm. The ratios of centrifugal to terrestrial gravity at several rotational speeds are given in table 3.

At high rotational speeds ( $\Omega = 900$  or  $1000$  r.p.m.), the bubbles are rigorously centred in both the soybean oil and the Triton mixture (see figures 17*g* and 17*h*). Such configurations of centred bubbles, whose shape is determined by a potential lined up end to end, are essentially uninfluenced by gravity. The effects of gravity become sensible at  $\Omega = 600$  r.p.m. and the configurations of bubbles in the soybean oil and in the Triton mixture are different. The perturbation of the rigid rotational motion in the high viscosity Triton mixture is small and the secondary motions are much weaker than in the soybean oil. The distortion of the bubbles is more severe in the soybean oil than in the Triton mixture. At even lower values of  $\Omega$ , the air in the soybean oil forms a single bubble which rises to the top, whereas bubbles still exist in the Triton mixture although heavily distorted by gravity.

As the rotational speed is decreased to zero, the liquid and air stratify with all the air at the top. Even in this case, a stationary liquid, the air may separate into bubbles induced by capillarity if the amount of air is not small; if the filling level is near one, the very small amount of air will rise to the top and form a single short bubble owing to the restraining action of surface tension.

Many unusual shapes of bubbles may occur when  $\Omega^2 R/g \sim O(1)$ , as put into evidence and in the papers by Balmer (1970), Sanders, Joseph & Beavers (1981) and Preziosi & Joseph (1988).

The combined effects of the filling level and rotational speed on the formation of the bubbles are of interest. When the gravity ratio parameter is small,  $\Omega^2 R/g \ll 1$ , the liquid and air are stratified with a thin film being dragged up by the rotating cylinder. If the filling level is large enough, the thickness of the film dragged up increases as the cylinder rotates faster. Up to a critical condition, the thick liquid film on the top of the cylinder cannot be maintained and part of it falls down under gravity, subsequently the single air bubble breaks. On the other hand, when the gravity ratio parameter is large, the air forms a rigorously centred cylindrical column stretching from end to end of the cylinder. If the rotational speed decreases, the stabilizing effect of the centrifugal acceleration decreases. Up to a point, the combined effects of the surface capillarity and the terrestrial gravity will break up the air bubble into smaller ones.

The critical conditions under which the single air bubble breaks were determined experimentally for the rimming flow of soybean oil. The two lines on the  $(F, \Omega)$ -plane in figure 18 indicate the critical conditions. When the filling level and rotational speed fall in the region between the two lines, separated bubbles are observed; otherwise, a single air bubble is stable. Note that when  $F < 0.4$ , a single air bubble is stable at any rotational speed.

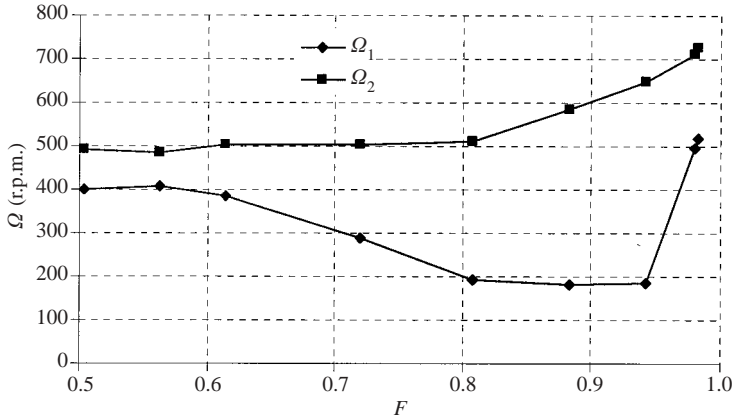


FIGURE 18. Critical conditions for the formation of bubbles in soybean oil. The experiments were carried out in a glass cylinder with an inner diameter of 1.28 cm and a length of 22.14 cm. When  $F < 0.4$ , a single air bubble is stable at any rotational speed. For  $F \geq 0.5$ , when the rotational speed is between  $\Omega_1$  and  $\Omega_2$ , separated bubbles are observed.

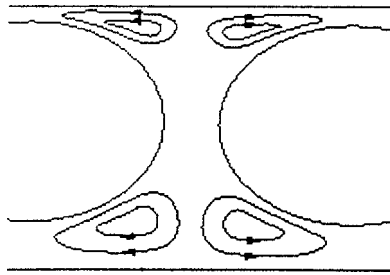


FIGURE 19. The eddies set up by the pumping motion of the off-centre bubbles. Liquid flows from the bubble to the liquid disks near the bubble surface and from the liquid disks to the bubble near the wall.

### 3.2. Particles segregation due to bubbles

In a system with several air bubbles distributed along the length of the cylinder and displaced off the axis of rotation by the action of gravity, the motion of the particles suspended in the liquid may be driven by the secondary motions associated with the pumping effect around the off-centre bubbles. The off-centre bubbles are stationary and act as obstacles around which the liquid must pass. The pumping motion of the bubble sets up an eddy which will push the liquid from the bubble to the liquid disks near the bubble surface and from the liquid disks to the bubble near the wall (see figure 19).

The changes in the nature of heavier-than-liquid particle segregation as  $\Omega^2 R/g$  changes (see table 3) are shown in figure 20 where we go from stratified flow (a) to uniform flow (d). Comparing figures 17(a,c) with figures 20(a,b) we see that the particles promote bubble formation at low rotational speeds in soybean oil. This effect may be due to the increase in the effective density of the mixture which increases the value of  $J$  in (20) by replacing  $\rho_l$  with  $\rho_c = \rho_p \phi + \rho_l(1 - \phi)$  where  $\phi$  is the particle fraction.

The heavier-than-liquid particles are centrifuged to regions near the cylinder wall where secondary motions are weakest. The eddies push the particles on the wall to

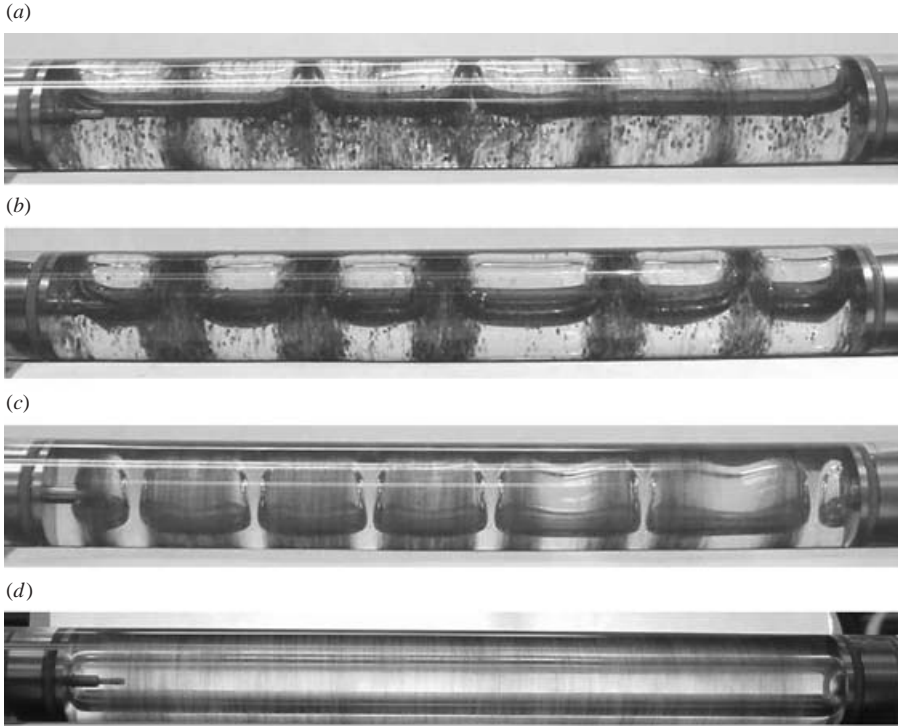


FIGURE 20. Particle segregation of resin particles with  $\rho_p = 1.13 \text{ g cm}^{-3}$  in the soybean oil with  $\rho_l = 0.915 \text{ g cm}^{-3}$ . The particle concentration is 15% and the filling level  $F = 0.6$ . (a)  $\Omega = 200 \text{ r.p.m.}$  (b)  $300 \text{ r.p.m.}$  (c)  $600 \text{ r.p.m.}$  (d)  $1000 \text{ r.p.m.}$

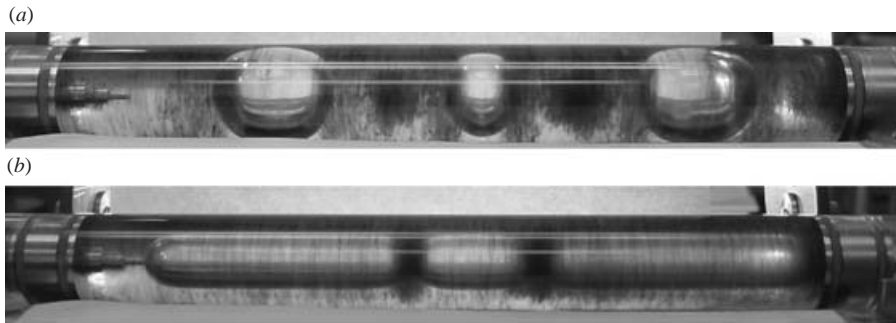


FIGURE 21. Particle segregation of resin particles with  $\rho_p = 1.13 \text{ g cm}^{-3}$  in glycerine with  $\rho_l = 1.173 \text{ g cm}^{-3}$ . The particle concentration is 8.96% and the filling level  $F = 0.667$ . (a)  $\Omega = 200 \text{ r.p.m.}$  (b)  $600 \text{ r.p.m.}$

the region circling the bubbles and away from the liquid disks when the rotational speed of the cylinder is large enough to centrifuge the air away from the wall, but not so large as to centre it (figure 20c). At lower speeds, the bubbles rise all the way to the wall and the particles on the wall are pushed to the liquid disks (figures 20a, b).

Figure 21 shows the segregation pattern of lighter-than-liquid particles. The lighter-than-liquid particles are centrifuged to the surface of the bubbles and pushed to the liquid disks by the eddies described in figure 19. In figure 21(b), some particles do

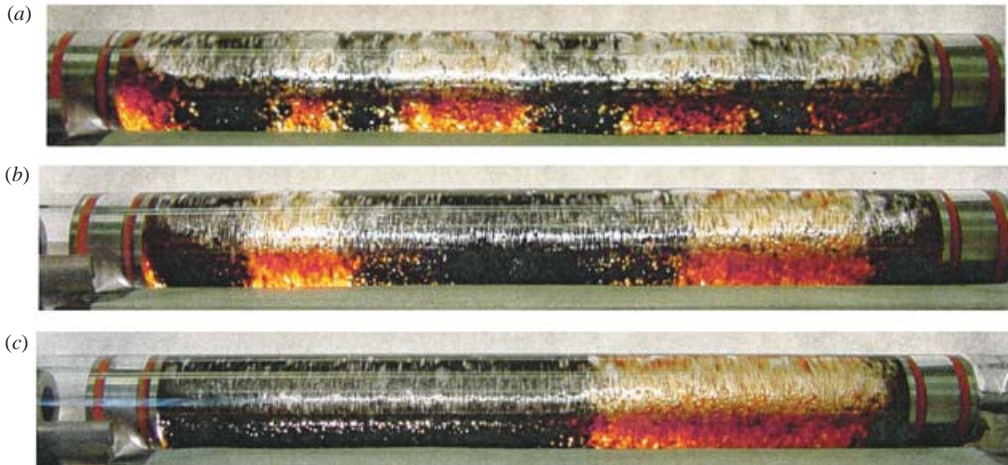


FIGURE 22. Segregation of two types of particle in a 47.8% aqueous glycerine solution with  $\rho_l = 1.09 \text{ g cm}^{-3}$ . The filling level  $F = 0.354$ . The concentrations of the black silicon particles and brown resin particles are 21.7% and 12%, respectively. The rotational speed is 165 r.p.m. (a) 30 min, (b) 2 h, (c) 20 h after the beginning of rotation.

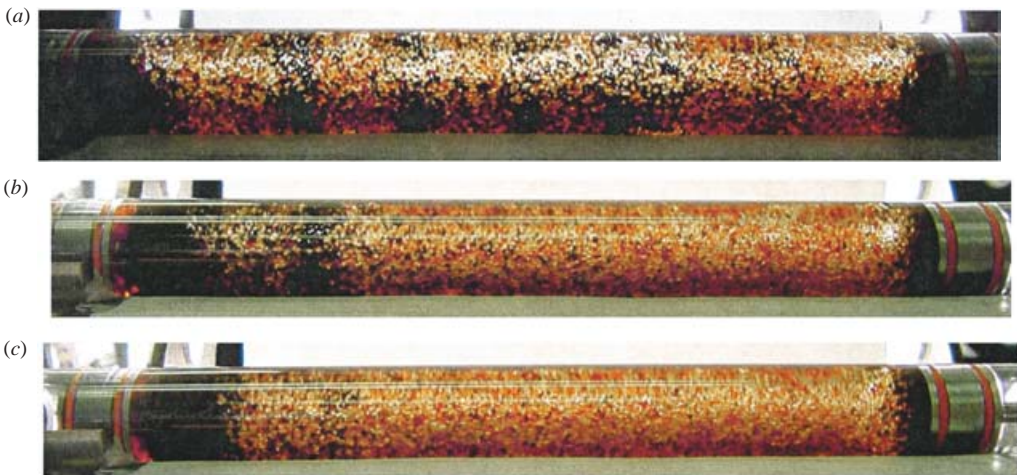


FIGURE 23. Segregation of two types of particle in a 48.7% aqueous glycerine solution. The filling level  $F = 0.328$ . The concentrations of the black silicon particles and brown resin particles are both 16%. The rotational speed is 160 r.p.m. (a) 4 min, (b) 24 min, (c) 36 min after the beginning of rotation.



FIGURE 24. Segregation of two types of particles in water. The filling level  $F = 0.357$ . The concentrations of the black silicon particles and brown resin particles are 4% and 16%, respectively. The rotational speed is 306 r.p.m.

circulate around the bubbles because the bubbles are almost centred and the secondary motions are weak. At 600 r.p.m., heavier-than-liquid particles are centrifuged to the wall and aggregate above the bubbles as shown in figure 20(c) whilst lighter-than-liquid particles are centrifuged to the surface of the bubbles and aggregate in the liquid disks as shown in figure 21(b).

### 3.3. Segregation of bi-disperse suspension in a partially filled rotating cylinder

Preliminary experiments using suspensions of particles with two different densities show that the rotating flow leads to segregation of the two types of particle into separate regions whose exact form depends on the density and concentration of particles and on other features which have yet to be determined. Here, we show that this kind of segregation does occur and is robust.

Figure 22 and 23 show two experiments of segregation of bi-disperse suspension in aqueous glycerine solutions. The different concentrations of the particles cause different patterns of segregation (figure 22a and figure 23a). The rotating flow finally leads to uniform distribution of the particles, with the heavy particles at the end of the cylinder and the light particles at the middle of the cylinder (figure 22c and figure 23c). Figure 24 shows bi-disperse suspension in water. The configuration shown in figure 24 is stable for hours. The two types of particle used in these experiments are black silicon particles with  $\rho_p = 3.07 \text{ g cm}^{-3}$  and an average diameter  $d_p = 0.05 \text{ cm}$ , and brown resin particles with  $\rho_p = 1.13 \text{ g cm}^{-3}$  and an average diameter  $d_p = 0.065 \text{ cm}$ .

## 4. Conclusion

The principal facts concerning capillary attraction and self-assembly of small lighter- and heavier-than-fluid floating particles were reviewed. These facts were applied to explain the clustering and segregation of bands of particles in a thin liquid film rimming the inside of a partially filled, slowly rotating cylinder in situations resembling those first observed by Tirumkudulu *et al.* (2000). In our experiments, clustering and band formation occurred under all kinds of conditions, for liquids with high and low viscosities, for particles lighter- and heavier-than-liquids, for small particles and large particles, and for low concentrations and high concentrations of particles. Uniform dispersions of particles in thin films are robustly unstable to anti-diffusion due to capillarity, and clusters which are self-assembled are robustly stable. The conditions required to support this phenomenon are that the liquid film is thin relative to the particle size; the film should be thin, or in any case, not much thicker than the particles. The rotational speed of the cylinder should be slow enough that the time needed for sensible capillary attraction is comparable to the time of residence of the particle in the thin part of the rimming film.

Particle segregation may also be generated by pumping secondary motions of fluid by off-centre gas bubbles, which arise when the gravity parameter  $\Omega^2 R/g \sim O(1)$  and the filling level is not too small. Lighter-than-liquid particles segregate in the liquid disks between bubbles; heavier-than-liquid particles segregate in the region above the bubbles when they are off the wall, and in the liquid disks when the bubbles touch the wall.

A third regime of segregation of bi-disperse suspension of particles of different heavier-than-liquid densities, which stratify when the cylinder is at rest, form into rings when the cylinder rotates. Different forms of the ring appear to depend on the particle concentration and other factors which have as yet to be determined.

Type of liquid	Glycerine	Soybean oil	1% aqueous Polyox	Water	Triton mixture		
					Sample 1	Sample 2	Sample 3
Density $\rho_l$ ( $\text{g cm}^{-3}$ )	1.173	0.915	1.006	1	1.241	1.203	1.498
Viscosity $\mu$ (cP)	1490	282	7650	1	2950	4434	238
Surface tension ( $\text{mN m}^{-1}$ )	41.46	24.28	56.89	67.36	33.15	32.36	37.28

TABLE 4. Material parameters for liquids used in the experiments. The viscosity of 1% aqueous Polyox solution given here is zero shear viscosity. The values of surface tension are measured using a spinning-drop tensiometer. The Triton mixtures are combinations of Triton X 100,  $\text{ZnCl}_2$  and water. The mixtures we prepared have viscosities in the range of 2–60 poise and densities in the range of  $1.1\text{--}1.5 \text{ g cm}^{-3}$ , depending on the fractions of the components. Three samples of them are listed here.

Type of particle	Polymer particle	Nylon particle	16/30 AcFrac PR sand	16/20 Naplite sand	Resin particle	Silicon particle
Density $\rho_p$ ( $\text{g cm}^{-3}$ )	1.034	1.170	1.640	2.59	1.13	3.07
Average diameter $d_p$ (cm)	0.065	0.314	0.088	0.959	0.065	0.05

TABLE 5. Physical parameters for particles used in the experiments.

The work of authors D.D.J., J.W., R.B. and B.H.Y. was supported by the NSF/CTS under grant 9873236, and by the Engineering Research Programs at the Office of Basic Energy Science of the DOE.

## Appendix

The properties of the liquids and particles used in the experiments reported here are given in tables 4 and 5.

## REFERENCES

- BALMER, R. T. 1970 The hydrocyst – a stability phenomenon in continuum mechanics. *Nature (Lond.)* **227**, 600.
- BOWDEN, N., CHOI, I. S., GRZYBOWSKI, B. A. & WHITESIDES, G. M. 1999 Mesoscale self-assembly of hexagonal plates using lateral capillary forces: synthesis using the ‘capillary bond’. *J. Am. Chem. Soc.* **121**, 5373–5391.
- BOWDEN, N., TERFORT, A., CARBECK, J. & WHITESIDES, G. M. 1997 Self-assembly of mesoscale objects into ordered two-dimensional arrays. *Science* **276**, 233–235.
- BRENNER, H. & LEAL, L. G. 1982 A micromechanical derivation of Fick’s law for interfacial diffusion of surfactant molecules. *J. Colloid Interface Sci.* **65**, 191.
- BRENNER, H. & LEAL, L. G. 1982 Conservation and constitutive equations for adsorbed species undergoing surface diffusion and convection at a fluid–fluid interface. *J. Colloid Interface Sci.* **88**, 136.
- CHAN, D. Y. C., HENRY JR, J. D. & WHITE, L. R. 1981 The interaction of colloidal particles collected at the fluid interface. *J. Colloid Interface Sci.* **79**, 410.
- DANOV, K. D., AUST, R., DURST, F. & LANGE, U. 1995 Influence of the surface viscosity on the hydrodynamic resistance and surface diffusivity of a large Brownian particle. *J. Colloid Interface Science* **175**, 36–45.

- DIEBER, J. A. & CERRO, R. L. 1976 Viscous flow with a free surface inside a horizontal rotating drum. *Ind. Engng Chem. Fund.* **15**, 102–110.
- FORTES, M. A. 1982 Attraction and repulsion of floating particles. *Can. J. Chem.* **60**, 2889.
- GIFFORD, W. A. & SCRIVEN, L. E. 1971 On the attraction of floating particles. *Chem. Engng Sci.* **26**, 287–297.
- GOLDMAN, A. J., COX, R. G. & BRENNER, H. 1967 Slow viscous motion of a sphere parallel to a plane wall. I. Motion through a quiescent fluid. *Chem. Engng Sci.* **22**, 637–651.
- GOVINDARAJAN, R., NOTT, P. R. & RAMASWAMY, S. 2001 Theory of suspension segregation in partially filled horizontal rotating cylinders. *Phys. Fluids* **13**, 3517–3520.
- GRZYBOWSKI, B. A., BOWDEN, N., ARIAS, F., YANG, H. & WHITESIDES, G. M. 2001 Modeling of menisci and capillary forces from the millimeter to the micrometer size range. *J. Phys. Chem. B* **105**, 404–412.
- JOHNSON, R. E. 1988 Steady-state coating flows inside a rotating horizontal cylinder. *J. Fluid Mech.* **190**, 321–342.
- JOSEPH, D. D., ARNEY, M. & MA, G. 1992 Upper and lower bounds for interfacial tension using spinning drop devices. *J. Colloid Interface Sci.* **148**, 291–294.
- JOSEPH, D. D. & PREZIOSI, L. 1987 Stability of rigid motions and coating films in bicomponent flows of immiscible liquids. *J. Fluid Mech.* **185**, 323–351.
- KARWEIT, M. J. & CORRSIN, S. 1975 Observation of cellular patterns in a partly filled, horizontal, rotating cylinder. *Phys. Fluids* **18**, 111.
- KATO, K., FUJITA, H. & IMAZU, E. 1992 Motion of a particle floating on a liquid meniscus surface. *J. Fluids Engng* **114**, 411.
- KRALCHEVSKY, P. A. & NAGAYAMA, K. 2000 Capillary interactions between particles bound to interfaces, liquid films and biomembranes. *Adv. Colloid Interface Sci.* **85**, 145–192.
- KRALCHEVSKY, P. A., PAUNOV, V. N., DENKOV, N. D., IVANOV, I. B. & NAGAYAMA, K. 1993 Energetical and force approaches to the capillary interactions between particles attached to a liquid–fluid interface. *J. Colloid Interface Sci.* **155**, 420–437.
- KRALCHEVSKY, P. A., PAUNOV, V. N., IVANOV, I. B. & NAGAYAMA, K. 1992 Capillary meniscus interactions between colloidal particles attached to a liquid–fluid interface. *J. Colloid Interface Sci.* **151**, 79–94.
- MAJUMDAR, S. R., O'NEILL, M. E. & BRENNER, H. 1974 Note on the slow rotation of a concave spherical lens or bowl in two immiscible semi-infinite viscous fluids. *Mathematika* **21**, 147–154.
- MOFFATT, H. K. 1977 Behaviour of a viscous film on the outer surface of a rotating cylinder. *J. Méc.* **16**, 651–673.
- NICOLSON, M. M. 1949 The interaction between floating particles. *Proc. Camb. Phil. Soc.* **45**, 288.
- O'BRIEN, S. B. G. & GATH, E. G. 1988 The location of a shock in rimming flow. *Phys. Fluids* **10**, 1040–1042.
- PETKOV, J. T., DENKOV, N. D., DANOV, K. D., VELEV, O. D., AUST, R. & DURST, F. 1995 Measurement of the drag coefficient of spherical particles attached to fluid interfaces. *J. Colloid Interface Sci.* **172**, 147–154.
- POYNTING, J. H. & THOMPSON, J. J. 1913 *A Text-book of Physics: Vol. 1, Properties of Matter*, pp. 153–155. C. Griffith, London.
- PREZIOSI, L. & JOSEPH, D. D. 1988 The run-off condition for coating and rimming flows. *J. Fluid Mech.* **187**, 99–113.
- PRINCEN, H. M. 1969 Equilibrium shape of interfaces, drops, and bubbles. Rigid and deformable particles at interfaces. In *Surface and Colloid Science* (ed. E. Matijevic), vol. 2, pp. 1–84. Interscience.
- RAPACCHIETTA, A. V. & NEUMANN, A. W. 1977 Force and free-energy analyses of small particles at fluid interfaces: II. Spheres. *J. Colloid Interface Sci.* **59**, pp. 555–567.
- REDOEV, B., NEDJALOV, M. & DJAKOVICH, V. 1992 Brownian motion at liquid-gas interfaces. 1. Diffusion coefficients of macroparticles at pure interfaces. *Langmuir* **8**, 2962.
- RUSCHAK, K. J. & SCRIVEN, L. E. 1976 Rimming flow of liquid in a rotating horizontal cylinder. *J. Fluid Mech.* **76**, 113–127.
- SAIF, T. A. 2002 On the capillary interaction between solid plates forming menisci on the surface of a liquid. *J. Fluid Mech.* **473**, 321–347.
- SANDERS, J., JOSEPH, D. D. & BEAVERS, G. S. 1981 Rimming flow of a viscoelastic liquid inside a rotating horizontal cylinder. *J. Non-Newtonian Fluid Mech.* **9**, 269–300.



- SCHNEIDER, Y. C., O'NEILL, M. E. & BRENNER, H. 1973 On the slow viscous rotation of a body straddling the interface between two immiscible semi-infinite fluids. *Mathematika* **20**, 175.
- TIMBERLAKE, B. D. & MORRIS, J. F. 2002 Concentration band dynamics in free-surface Couette flow of a suspension. *Phys. Fluids* **14**, 1580–1589.
- TIRUMKUDULU, M. & ACRIVOS, A. 2001 Coating flows within a rotating horizontal cylinder: lubrication analysis, numerical computations, and experimental measurements. *Phys. Fluids* **13**, 3517.
- TIRUMKUDULU, M., MILEO, A. & ACRIVOS, A. 2000 Particle segregation in monodisperse sheared suspensions in a partially filled rotating horizontal cylinder. *Phys. Fluids* **12**, 1615.
- TIRUMKUDULU, M., TRIPATHI, A. & ACRIVOS, A. 1999 Particle segregation in monodisperse sheared suspensions. *Phys. Fluids* **11**, 507–509.
- VONNEGUT, B. 1942 Rotating bubble method for the determination of surface and interfacial tension. *Rev. Sci. Instrum.* **13**, 6–9.
- WAKIYA, S. 1957 *Niigata Univ. College of Engng Res. Rep.* 6, 30 March, Nagoaka, Japan.